

CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d)) Technology Center 2600  
[ ] DUPLICATE



Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231

Attorney Docket No. of *prior application*: 041-1790B  
First Named Inventor: Takayuki SUGAHARA  
Express Mail Label No.:  
Total Pages:

This is a request for a Continued Prosecution Application under 37 CFR 1.53(d) of prior application Serial Number 09/469,499, filed on 22 December 1999, entitled REPRODUCTION APPARATUS AND METHOD FOR PROVIDING USER MODIFIABLE PROTECTION OF DATA REPRODUCTION, as amended.

NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national state of an international application in compliance with 35 USC 371. Effective May 29, 2000, a CPA may only be filed in a utility of a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (April 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 USC 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 USC 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 USC 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(n).

1. [ ] Enter the unentered amendment and supplemental amendment previously filed on {}, under 37 CFR 1.116 in the prior nonprovisional application.
2. [ X ] A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a. [ ] DELETE the following inventor(s) named in the prior nonprovisional application:
  - b. [ ] The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. [ ] A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. [ ] PTO-1449
  - b. [ ] Copies of IDS Citations

03/22/2001 HUJUNG1 00000131 09469499 710.00 00 160.00 00  
01 FC:131  
02 FC:102

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Total Claims	15	MINUS 20	0	x \$18 =	\$ .00
Independent Claims	5	MINUS 3	2	x \$80 =	\$160.00
Basic Application Fee					\$ 710.00
If multiple dependent claims are presented, add \$260.00					\$0.00
Total Application Fee					\$870.00
Subtract 1/2 if small entity					\$0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$870.00</b>
<b>PAYMENT MADE BY FORM PTO 2038</b>					<b>\$870.00</b>

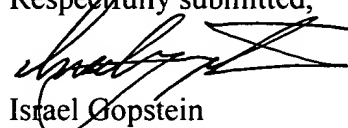
6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments, or to charge the following fees to Deposit Account No. 50-1088:
- a. ☒ Only fees in addition to the above which may be required under 37 CFR 1.16.
- b. ☒ Only fees in addition to the above which may be required under 37 CFR 1.17.
8. ☐ Attached is a check in the amount of \$.00
9. ☒ Attached is Form PTO 2038 including the amount of **\$870.00** and \$110 for Extension of Time to respond to a Final Action in the Parent Application, as well as a Petition therefor.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(I) enclosed).
11. ☒ New Attorney Docket Number, if desired: **041-1790E**
12. a. ☐ Receipt For Facsimile Transmitted CPA.
- b. ☒ Return Receipt Postcard (Specifically itemized, see MPEP 503).
13. ☐ OTHER:

NOTE: The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.

14. ☒ **NEW CORRESPONDENCE ADDRESS BELOW:**

**Israel Gopstein,  
Attorney at Law  
Clark & Brody  
1750 K Street, N.W., Suite 600  
Washington, D.C. 20006  
Telephone: (202) 835-1111 Fax: (202) 835-1755**

Respectfully submitted,



Israel Gopstein  
Registration No. 27,333

Date: March 21, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Takayuki SUGAHARA

Serial No. 09/469,499

Filed: 22 December 1999

For: REPRODUCTION APPARATUS AND METHOD FOR PROVIDING USER MODIFIABLE PROTECTION OF  
DATA REPRODUCTION (as amended)EXPEDITED PROCEDURE  
RESPONSE UNDER 37 CFR 1.116

Group Art Unit: 2713

Examiner: Y. LEE

**BOX AF**ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RECEIVED

MAR 23 2001

Dear Sir:

Technology Center 2600

Transmitted herewith is a **Continued Prosecution Application (CPA) Request** in the above identified application.

- ☐ No additional fee is required.
- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ Also attached: 1) **Petition for Extension of Time in Pending Application** 2) **Preliminary Amendment in CPA,**  
and 3) **Form 2038 Covering Fees for All Papers**

The fee has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	15	20	0	x \$ 18 =	\$ 0.00
Independent Claims	5 (in CPA)	3	2 (in CPA)	x \$ 80 =	\$160.00
CPA Filing Fee					\$710.00
Fee for extension of time in pending Application					\$110.00
Fee for Notice of Appeal					\$0.00
<b>TOTAL FEE DUE</b>					<b>\$980.00</b>

- ☒ Form 2038 authorizing payment in the amount of **\$980.00** is attached
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment, to Deposit Account No. 50-1088, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,  
Clark & Brody

Israel Gopstein  
Registration No. 27,333

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